• > Inventors: Conklin et al. Docket No. 10790/4 and Serial No. 09/896,760 Filing Date: June 29, 2001 MEMBER TERMINAL CUSTODIAL MANAGE BROKER-DEALER 8.0 TERMINAL (E.G., SELLING GROUP MENT SYSTEM (E.G., CLEARING BROKER COMMUNICATIONS SETTLEMENT AND FRUST COMPANY) COMMUNICATIONS OR DEPOSITORY NETWORK (E.G., NETWORK (E.G., SYSTEM (E.G., CUSTOMER BLOOMBERG) **PUBLICATION** TERMINAL FINANCIAL INTERNET INTERNET) RETAIL REUTERS ∞ 24 40 DATA EXTRACTOR DATA FORMATTER , 22 FILTERED DATA PROCESSING SYSTEM COMMUNICATIONS INTERFACE FINANCIAL PLANNING MODULE LEGAL COMPLIANCE MODULE MODELING SUPPORT MODULE DISTRIBUTION HANDLER TRADING AND SETTLE-46 INDICATION MANAGER ISUANCE HANDLER DISTRIBUTION COMMUNI-MEDIA PUBLICATION MENT MODULE DATABASE MANAGER CATIONS INTERFACE ISSUANCE MODULE MONITOR **USER INTERFACE** DATABASE 86 16 21 REGULATION (E.G., EDGAR SECURITIES DATABASE) COMMUNICATIONS SYSTEM COMMUNICATIONS FIG. 2 NETWORK (E.G., 36 **ISSUER TERMINAL** INTERFACE CALCULATOR) INTERNET) TOOL (E.G., MODELER PLANNING FINANCIAL INTERFACE USER 15 SOURCE MARKET DATA

Patent Application for: METHOD AND SYSTEM FOR ENHANCED DISTRIBUTION OF FINANCIAL

INSTRUMENTS

et 34.